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S/N 10/010816

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Qi Wang et al.	Examiner:	Unassigned
Serial No.:	10/010816	Group Art Unit:	2874
Filed:	November 13, 2001	Docket No.:	980.1077US01
Title:	GRATING-BASED MUX/DMUX WITH EXPANED WAVEGUIDES		

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 20, 2002

Iain A. McIntyre  
Name

Signature

SUBMISSION OF NOTICE TO FILE CORRECTED APPLICATION PAPERS

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed in response to the *Notice to File Corrected Application Papers* for the above referenced application are 10 sheets of formal drawings, which is in compliance with 37 CFR 1.84. Also enclosed is a copy of the *Notice to File Corrected Application Papers*.

Respectfully submitted,

Altera Law Group, LLC  
6500 City West Parkway, Suite 100  
Minneapolis, MN 55344  
(952) 912-0523

Date: March 20, 2002

By:

Iain A. McIntyre  
(952) 912-0571  
Reg. No. 40,337

IAM/kah

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Qi Wang et al. Examiner: Unassigned  
Serial No.: 10/010816 Group Art Unit: 2874  
Filed: November 13, 2001 Docket No.: 980.1077US01  
Title: GRATING-BASED MUX/DMUX WITH EXPANED WAVEGUIDES

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Iain A. McIntyre  
Name

Signature

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

- ☒ Submission of Notice to File Corrected Application Papers, 10 sheets of formal drawings, and a copy of a *Notice to File Corrected Application Papers*.
- ☒ Information Disclosure Statement, Form 1449, copies of 2 references
- ☒ Transmittal Sheet
- ☒ Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC  
6500 City West Parkway, Suite 100  
Minneapolis, MN 55344  
(952) 912-0523

Date: March 20, 2002

By:

Iain A. McIntyre  
(952) 912-0571  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/010,816	11/13/2001	Qi Wang	980.1077US01

Iain A. McIntyre  
Altera Law Group, LLC  
Suite 100  
6500 City West Parkway  
Minneapolis, MN 55344-7701



CONFIRMATION NO. 7282

FORMALITIES LETTER



\*OC000000007350853\*

Date Mailed: 01/24/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

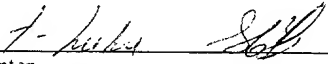
*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE